Enantioselective C(sp³)–H Functionalization of Oxacycles via Photo-HAT/Nickel Dual Catalysis

Sheng Xu, Yuanyuan Ping, Wei Li, Haoyun Guo, Yinyan Su, Ziyang Li, Minyan Wang,* and Wangqing Kong*



density functional theory calculation studies provide detailed insights into the mechanism and the origin of enantioselectivity for the asymmetric $C(sp^3)$ -H functionalization.

INTRODUCTION

The enantioselective functionalization of inert $C(sp^3)-H$ bonds¹⁻⁴ is undoubtedly a transformative approach for the construction of high-value-added chiral molecules due to their atom and step economy as well as the abundance of starting materials (Scheme 1a). However, the regio- and enantioselective transformation of these hydrocarbons remains extremely challenging due to their inherent chemical inertness and slightly different reactivity of C(sp³)-H bonds. Among the few available strategies, transition-metal-catalyzed directing group-assisted C-H activation has emerged as a key strategy for the direct and enantioselective functionalization of $C(sp^3)$ -H bonds.^{5,6} The concerted metal carbenoid/nitrenoid C-H insertion reaction also enables the direct enantioselective conversion of hydrocarbons to chiral molecules.^{7,8} Despite these significant advances, there remains a strong demand for developing efficient methods for converting hydrocarbon feedstocks into valuable chiral molecules.

many pharmaceutically relevant molecules. Experimental and

In recent years, the merger of the hydrogen atom transfer (HAT) process^{9–11} with transition metal catalysis has shown considerable potential in the direct functionalization of $C(sp^3)$ –H bonds.^{12–16} In particular, photoredox and nickel dual catalysis, pioneered by MacMillan,¹⁷ Molander,¹⁸ and Doyle¹⁷ et al., has emerged as a powerful tool for $C(sp^3)$ –H functionalization.^{19–29} Despite enormous efforts, the enantioselective transformation of hydrocarbons remains largely undeveloped and limited to α -amino^{30–33} and benzylic^{34–36} C–H bonds with relatively low bond dissociation energies.

This quest is particularly arduous when it comes to the asymmetric $C(sp^3)$ -H functionalization of undirected heterocycles, which are notoriously difficult to handle in enantioselective processes. Although the α -arylation of cyclic amides has been addressed, the benzoyl protecting group is indispensable not only to modulate the BDEs of the α -amino C-H bonds but also to act as a functional handle for stereoselective control.³⁰⁻³³ Given that planar oxacycles have similar steric hindrance and lack of a functional handle, catalytic enantiofacial differentiation of small oxacycles is formidably challenging (Scheme 1b). Martin and co-workers developed an elegant strategy for asymmetric C-H arylation of THF through the synergy of triplet-excited ketones and nickel catalysts. Nevertheless, only moderate enantioselectivity (54% ee) was achieved (Scheme 1c).³⁷

Inspired by the recent reports on Ni-photocatalyzed dehydrogenative^{38–40} and Ni-catalyzed asymmetric Heck coupling reactions,^{41–43} we reasoned that a dual photo-redox/nickel-catalyzed dehydrogenation of saturated hetero-cycles followed by an asymmetric Heck coupling strategy might address the above-mentioned challenges (Scheme 1d).

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Scheme 1. Asymmetric C(sp³)-H Functionalization of Feedstock Hydrocarbons

This article discloses our efforts to develop asymmetric $C(sp^3)$ -H functionalization of undirected oxacycles by utilizing cooperative catalysts consisting of a tunable chiral PHOX-nickel catalyst and a commercially available diary ketone photocatalyst. The reaction proceeds under very mild conditions and features broad substrate scope, covering a variety of oxacycles and diverse (hetero)aryl and alkenyl bromides, with good functional group compatibility and excellent enantioselectivity. Notably, this method provides a single-step conversion of abundantly available hydrocarbons to chiral α -functionalized oxacycles, which are often found as key structural elements in biologically active molecules or serve as chiral building blocks in drug synthesis.

RESULTS AND DISCUSSION

We started our investigations by studying the asymmetric $C(sp^3)$ -H arylation of tetrahydrofuran 1 with ethyl 4bromobenzoate 2 (Table 1). Extensive evaluation of the reaction parameters identified that the combination of NiCl₂. DME, the chiral PHOX ligand L1, Na₂CO₃, and the diaryl ketone PC1 as a photo-HAT catalyst^{37,44-46} under irradiation with 10 W light-emitting diodes (LEDs) at -5 °C provided the desired product 3 in 75% yield and 90% e.e. (entry 1, condition A). Attempts to use other widely used photo-HAT catalysts $Ir[dF(CF_3)ppy]_2(dtbbpy)PF_6^{47-52}$ or TBADT⁵³ failed to obtain the desired product (entries 2 and 3). The electronic effects on the diaryl ketones have a non-negligible impact on the reaction efficiency (entries 4 and 5). Screening of several chiral ligand scaffolds revealed that previously commonly used bis(oxazoline), pyridyloxazoline, and bis-(imidazoline) ligands (L4-L6) were ineffective (entries 6-10). As shown in entries 11 and 12, other chlorine-free nickel sources such as Ni(COD)₂ or NiBr₂·DME could also be used as a precatalyst, thus indicating that this approach is different from previously reported chlorine radical-mediated HAT processes (entries 11 and 12). $^{33,49,54-57}$ This asymmetric $C(sp^3)$ -H functionalization could also be performed in ethyl acetate as a solvent, using 20 equiv of the C-H precursor,

providing a complementary protocol for other oxacycles (entry 13, condition B). When the reaction was carried out at room temperature, the ee value decreased slightly (entry 14). Control experiments revealed that all parameters, including nickel catalyst, light, photocatalyst, and Na_2CO_3 , were essential for this transformation (entries 15–18).

With the optimal conditions in hand, we sought to examine the generality of the asymmetric $C(sp^3)$ -H functionalization protocol. As shown in Scheme 2, aryl bromides with various functional groups such as ester (3 and 4), ketone (5), aldehyde (6), nitrile (7), sulfone (8), trifluoromethyl (9), and fluorine (10) were perfectly tolerated. Notably, aryl chlorides (11-13), which are susceptible to Ni-catalyzed cross-couplings, were found to be accommodated, thereby opening additional avenues for derivatization of the resulting products. In addition, electron-neutral aryl bromides were also reactive substrates (14 and 15). To demonstrate the robustness of this protocol, heteroaryl bromides were also investigated. Pyridine, quinoline, and dibenzothiophene could be successfully incorporated into the target products (16 to 19). Furthermore, aryl bromides are derived from chiral pools or complex biologically important molecules, such as D-phenylalaninate (20), L-menthol (21), D-allofuranose (22), sertraline (23), sulbactam (24), cholesterol (25), and estrone (26), did not have any detrimental effect on efficiency (62-78%) and diastereoselective (>95/5, d.r.), showcasing the potential of this transformation for late-stage functionalization of complex molecules.

The asymmetric photochemical $C(sp^3)$ -H functionalization was not limited to aryl bromides, as alkenyl bromides were also effective coupling partners. Activated alkenyl bromides, such as 2-bromo-1*H*-indene and 3-bromocyclohex-2-en-1-one, and inactivated alkenyl bromide, such as azacycloalkenyl bromide, were coupled with tetrahydrofuran to provide the corresponding optically active products **27–29** in good yields with excellent enantioselectivities.

Although the asymmetric $C(sp^3)$ -H alkylation of tetrahydrofuran fails, formally, asymmetric $C(sp^3)$ - $C(sp^3)$ cross-

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Table 1. Optimization of the Reaction Conditions a,b

entry	photocatalyst	ligand	yield of 3 $(\%)^b$	ee of 3 (%) ^c
1	PC1	L1	75	90
2	Ir[dF(CF ₃)ppy] ₂ (dtbbpy)PF ₆	L1	trace	
3	TBADT	L1	trace	
4	PC2	L1	28	88
5	PC3	L1	trace	
6	PC1	L2	20	85
7	PC1	L3	56	72
8	PC1	L4	8	39
9	PC1	L5	16	7
10	PC1	L6	54	55
11^d	PC1	L1	65	80
12 ^e	PC1	L1	34	31
13 ^f	PC1	L1	68	90
14 ^g	PC1	L1	66	87
15 ^h	PC1	L1	n.d.	
16	none	L1	n.d.	
17 ^{<i>i</i>}	PC1	L1	n.d.	
18 ^j	PC1	L1	n.d.	

^{*a*}Reaction conditions: 2 (0.2 mmol, 1 equiv), NiCl₂·DME (10 mol %), ligand (15 mol %), Na₂CO₃ (2 equiv), photocatalyst (20 mol %), and tetrahydrofuran 1 (2 mL, 0.1 M) under the irradiation of LEDs (10 W, 390 nm) at -5 °C for 72 h. ^{*b*}Isolated yields. ^{*c*}The ee values were determined by HPLC using a chiral stationary phase. ^{*d*}Ni(COD)₂ (10 mol %) was used instead of NiCl₂·DME. ^{*c*}NiBr₂·DME (10 mol %) was used instead of NiCl₂·DME. ^{*f*}Reaction performed with tetrahydrofuran (20 equiv) in EtOAc (2 mL, 0.1 M). ^{*g*}Reaction performed at room temperature. ^{*h*}Without nickel catalyst. ^{*i*}Reaction performed in the dark. ^{*j*}Reaction performed in the absence of Na₂CO₃. TBADT: tetrabutylammonium decatungstate.

couplings could be achieved via a one-pot $C(sp^3)$ -H alkenylation and palladium-carbon reduction sequence. As shown, the product **30** bearing two stereocenters was obtained in 71% yield with high diastereoselectivity (15:1 d.r.). This protocol offers a complementary strategy to address the notorious problems of enantioselectivity and diastereoselectivity ity control in $C(sp^3)$ - $C(sp^3)$ cross-coupling reactions.

We next turned our attention to examine the scope of oxacycles. As depicted in Scheme 3, a wide range of oxacycles underwent asymmetric $C(sp^3)$ -H arylation smoothly, delivering the corresponding products in high efficiency with excellent stereoselectivity. Arylation of tetrahydrofuran-D₈ successfully afforded the deuterium-labeled product 32 in 81% yield and 94% ee. 2-Methyl tetrahydrofuran 33 was selectively arylated on the less sterically hindered $C(sp^3)$ -H

bond. Methyl (S)-tetrahydrofuran-2-carboxylate **35** was readily arylated to deliver the desired product **36** in 70% yield and greater than 25:1 diastereoselectivity. These results clearly show that the observed high enantioselectivity depends on the structure of the ligand rather than the substrate. The absolute configuration of the product **36** was determined by singlecrystal X-ray diffraction analysis of its hydrolysis product **37**. Furthermore, tetrahydrofuran-3-one **38**, a reactive substrate, produced the desired product **39** in 64% yield and 92% ee. γ -Butyrolactone **40** was a competent substrate that could be coupled with aryl bromide to provide 4-aryl γ -butyrolactone **41**, which can be used to synthesize many biologically active compounds, such as cryptophycin and tetrahydrolipstatin. In substrates **38** and **40**, the more labile electrophilic α -hydrogen was not activated by the electrophilically excited ketone

Scheme 2. Scope of Aryl and Alkenyl Bromide Coupling Partners

abstractor due to the partial negative charge on the initial Ccentered radical, making the corresponding transition state unfavorable. Cyclic ethers of various ring sizes, such as oxetane 42 and oxane 44, were well tolerated (43 and 45). 2,5-Dihydrofuran 46 and 2,3-dihydrofuran 47 proceeded smoothly, but the double bond in the resulting product was reduced. This may be due to the formation of stable aromatic furans and Ni–H species by elimination of β -hydrogen, which

can further undergo migratory insertion with 46 and 47. Multisubstituted cyclic ether 48 was tolerated, furnishing the desired product 49 in 63% yield with excellent diastereose-lectivity (>95/5 d.r.).

Remarkably, we found that the electronegativity of the protecting groups on the oxygen atom can tune the dissociation energy of the $C(sp^3)$ -H bond, enabling regiodivergent and enantioselective $C(sp^3)$ -H functionaliza-

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Scheme 3. Scope of Undirected Oxacycles^{*a,b,c*}

^{*a*}Reaction conditions: 31 (0.2 mmol, 1 equiv), NiCl₂·DME (10 mol %), ligand (15 mol %), Na₂CO₃ (2 equiv), photocatalyst (20 mol %), and oxacycle substrate (20 equiv) in ethyl ecatate (2 mL, 0.1 M) under the irradiation of LEDs (10 W, 390 nm) at -5 °C for 72 h. ^{*b*}This reaction was conducted at 25 °C using **PC2** (20 mol %) as photosensitizers in γ -butyrolactone (2 mL). ^{*c*}L2 was used. *ent*-L1 is the enantiomer of L1.

tion. As shown in Scheme 3, the benzoyl-protected (S)tetrahydrofuran-3-ol 50 underwent selective $C(sp^3)$ -H arylation at the 5-position to afford 51 in 67% yield with greater than 20:1 diastereoselectivity. In contrast, TBSprotected (S)-tetrahydrofuran-3-ol 52 was selectively arylated at the 2-position to furnish 53 in 62% yield with greater than 11:1 diastereoselectivity. Our protocol is also feasible for the late-stage functionalization of complex molecules. Direct arylation of (-)-ambroxide 54 delivered 55 in 65% yield with excellent diastereoselectivity with *ent*-L1 (>95:5 d.r.). The use of ligand L1 resulted in low yield and diastereoselectivity, suggesting that chiral ligands are the key to regulating the stereoselectivity of the reaction. The utility of this asymmetric $C(sp^3)$ -H functionalization reaction was demonstrated by scale-up reaction and applications in the synthesis of chiral building blocks and biologically active natural products and drugs. The scaled-up reaction using 1.0 g of 4'-bromoacetophenone **31** and tetrahydrofuran provided 0.64 g of product **5** with no change in enantioselectivity (Scheme 4i). Aryl pinacol boronate was perfectly accommodated and provided the corresponding product **56** in 62% yield and 91% ee, which could be converted into adenosine monophosphate-activated protein kinase (AMPK) activator for prevention and treatment of AMPK-mediated disorders (Scheme 4ii).⁵⁸ Chiral γ -butyrolactone **57** was converted to cyclic carbamate **58** by

Scheme 4. Scale-Up Synthesis and Applications in the Synthesis of Biologically Active Molecules and Drugs^a

^{*a*}Reaction Conditions: (a) NH₃·H₂O, MeOH, rt; (b) PhI(OAc)₂ (2.0 equiv), MeCN, 40 °C; (c) LiAlH₄ (3.0 equiv), THF, reflux; (d) KOH (2.0 equiv), ^{*i*}PrOH, H₂O, reflux; (e) ^{*m*}CPBA (2.0 equiv), NaHCO₃ (1.0 equiv), DCM, rt; (f) HCl (1N), MTBE, rt; (g) MeNH2. HCl (2.0 equiv), KOAc (2.0 equiv), THF, rt; (h) TBAF, THF, rt; (i) potassium phthalimide (1.2 equiv), KI (0.1 equiv), DMF, 70 °C; (j) NH₂NH₂. H₂O, EtOH, 80 °C; (k) HCl (1 M), THF, rt; (l) NH₃. BH₃ (1.1 equiv), NaHMDS (1.5 equiv), THF, rt; (m) TBAF, THF, rt; HCl (1 M), THF, rt. ^{*m*}CPBA = 3-chloroperoxybenzoic acid.

ammonolysis and subsequent Hoffmann rearrangement. Reduction of carbamate **58** with LiAlH₄ afforded 1,3aminoalcohol **59**, a common intermediate for the chemical synthesis of the important antidepressants (*S*)-tomoxetine, (*S*)-nisoxetine, and (*S*)-fluoxetine.⁵⁹ Carbamate **58** was hydrolyzed to 1,3-aminoalcohol **60**, which could be further converted to (R)-norfluoxetine, a potent and selective inhibitor of neural serotonin reuptake (Scheme 4iii).⁶⁰ In addition, the enantioenriched dioxanone **61** is a valuable and versatile synthetic building block that could be readily obtained in 82% yield by Baeyer–Villiger oxidation of **39** with *m*-CPBA while maintaining enantioselectivity.⁶¹ Hydrolysis and aminolysis of

Scheme 5. Mechanistic Investigation

dioxanone **61** gave the corresponding β -hydroxycarboxylic acid **62** and β -hydroxy amide **63**, respectively (Scheme 4iv). Amide **63** is an intermediate in the synthesis of compound **64**, which exhibits inhibitory activity against acetylcholine esterase and selective serotonin reuptake.⁶² Trans-*ortho*-arylation of TBS-protected **52** afforded **65** in 61% yield with high regioselectivity (>20:1, r.r.) and diastereoselectivity (>20:1, d.r.). Removal of the TBS protecting group gave product **66**, an analog of the natural products cassumunols F and H (Scheme 4v).⁶³ Moreover, retinol binding protein 4 (RBP4) lowering agent **68** for the treatment of diabetes and hyperlipidemia could be synthesized from the asymmetric C(sp³)–H arylation product **67** (Scheme 4vi).⁶⁴

To gain some insight into the reaction mechanism, a variety of mechanistic experiments were designed (Scheme 5). Chiral Ar–Ni(II) complex 71 was prepared by ligand exchange of complex 70 with L1 (Scheme 5a). The stoichiometric reaction of complex 71 with THF or 2,3-dihydrofuran 47 failed to afford the desired product 9 (Scheme 5b). If the nickel complex 71 was used in catalytic amount, product 9 was obtained in 40% yield with 93% ee (Scheme 5c). These results indicated that the Ar–Ni(II) complex might not be the active intermediate for this reaction. Moreover, a linear effect between the enantiomeric excess of product 5 and the enantiopurity of ligand L1 was examined, indicating that a 1:1 ratio of nickel to ligand was involved in the enantiodetermining step (Scheme 5d). Exposure of THF and L1coordinated nickel dichloride 72 to light generated alkylnickel complex 73 (Scheme 5e). Notably, the cross-coupling reaction of complex 73 with aryl bromide 31 provided the expected product 5 in 25% yield. This result provides solid evidence that the triplet alkyl-nickel complex 73 is a key intermediate for this transformation (Scheme 5f). Furthermore, the measured kinetic isotope effect (KIE) value (2.0) suggests that the HAT process might be involved in the ratedetermining step (Scheme 5g).

To investigate the origin of enantioselectivity, density functional theory (DFT) calculations were also performed on the model reaction of tetrahydrofuran and 4-bromoacetophenone 31 at the wb97xd/6-311+G(d,p)-SDD(Ni)/SMD-(THF)/B3LYP-D3/6-31G(d)-LANL2DZ(f)(Ni) level of theory. The calculated results involving photocatalytic and Ni-catalytic cycles are shown in Scheme 6.65 Initially, upon irradiation with 10 W purple light-emitting diodes (LEDs), the ketone sensitizer PC1 is excited to generate the triplet-excited state INT1A, which is set as the relative zero point in the photocatalytic cycle (Scheme 6a). The excited INT1A can reduce the excited single ^SNi^{II} complex to ^DNi^I with an exothermic energy of 11.2 kcal·mol⁻¹. Subsequently, the generated INT2A captures the hydrogen atom in tetrahydrofuran through the transition state TS1A with an activation energy of 4.6 kcal·mol⁻¹ to produce a carbon-centered radical A that can engage in nickel-catalyzed cross-coupling reactions. Further deprotonation regenerates a ketone sensitizer PC1 to

Scheme 6. DFT Investigation

complete the photocatalytic cycle. Alternatively, **INT1A** could also be reductively quenched by feedstock hydrocarbons via the HAT process to generate radical **A** and acidic alcohol radical **INT2B** (Figure S2, green line). In the presence of carbonate anions, **INT2B** is deprotonated through the transition state **TS2B** with an energy barrier of 3.7 kcalmol⁻¹ to afford the intermediate **INT3B**, which then reduces ^SNi^{II} to ^DNi^I via a single-electron transfer (SET) process with a tremendous exothermic energy. Given the abundance of THF, we speculate that a photocatalytic cycle involving HAT, deprotonation, and subsequent SET processes is the main pathway. This process generates the Ni(I) species ${}^{D}Ni^{I}$ and the carbon-centered radical A for the subsequent Ni-catalytic cycle.

In the nickel catalytic cycle (Scheme 6b), DFT computation starts from the key Ni(I) intermediate ${}^{D}Ni^{I}$. In contrast to the oxidative addition of 31 through the transition state TS3A, the resulting ${}^{D}Ni^{I}$ is prior to undergo radical addition to radical A via transition states TS3B-S or TS3B-R (7.9 kcal·mol⁻¹ for TS3B-S and 8.9 kcal mol⁻¹ for TS3B-R vs 25.1 kcal·mol⁻¹ for TS3A, Scheme 6c).⁶⁶ The radical addition step is an enantiodetermining step, and the relative Gibbs free energy of the transition state TS3B-S is 1.0 kcal mol⁻¹ lower than that of TS3B-R. The origin of enantioselectivity can be further visualized by steric maps around nickel using SambVca 2.1 tool (Scheme 6e).⁶⁷ The geometries of both transition states are tetrahedrons, where the orientation of the P atom is defined as Z axis and chiral ligand L1 occupied both NW and SW quadrants of the steric map, extending into the NE and SE quadrants. Thus, a chiral pocket is created to accommodate radical A. In TS3B-S, the distances between the O atom of radical A and the ortho-C-H bond of the P atom on the phenyl ring are 2.61 and 2.81 Å, respectively, indicating the existence of weak hydrogen bonds. For the disfavored transition state TS3B-R, the CH₂ group adjacent to oxygen in radical A is proximal to the indene ring of chiral ligand L1, and such repulsion interaction increases the energy barrier of **TS3B-R** to 8.9 kcal·mol⁻¹, which is 1.0 kcal·mol⁻¹ higher than the corresponding favored transition state TS3B-S (8.9 vs 7.9 kcal·mol⁻¹). The complex 73-S is the resting state of the catalyst, which could be isolated and then converted into the triplet-state 73-T-S with a slight energy barrier to participate in the catalytic cycle. The computational result is consistent with the control experiments shown in Scheme 5e and Scheme 5f. The SET processes between intermediates 73-T-S and INT3B are thermodynamically favorable, affording the intermediate INT4B-S.^{68,69} The extensive computational analysis on the alkyl-Ni(I) species INT4B-S was also carried out (Scheme 6d). β -H elimination occurs via transition states TS5A-S with an activation energy of 21.3 kcal·mol⁻¹, giving byproducts 2,3dihydrofuran and Ni(II)-H species INT5A. As a competing pathway, the oxidative addition of the intermediate INT4B-S with 31 has a lower activation free energy (14.4 vs 21.3 kcal mol⁻¹). The energy of the transition state **TS5B-S** is 14.4 kcal· mol⁻¹, which is located as the highest energy barrier in the overall reaction pathway and might be the rate-determining step. Subsequent reductive elimination via the transition state **TS6B-S** yields the desired S-configuration product (S)-5 and regenerates the Ni(I) species ^DNi^I-Br for the next catalytic cycle.

The reaction mechanism involving the Ni(I)–Ni(II) and Ni(I)–Ni(II)–Ni(IV) pathways has been well ruled out owing to the high energies required (see the Supporting Information for details).^{70,71}

CONCLUSIONS

We report the development of an enantioselective $C(sp^3)$ -H functionalization of oxacycles without exogenous directing groups by integrating photo-HAT and nickel catalysis. This protocol provides rapid and economic access to a wide range of high-value and enantiomerically enriched oxacycles directly from simple and abundant hydrocarbon feedstocks. The synthetic utility of this strategy is further demonstrated in the late-stage functionalization of natural products and the synthesis of many pharmaceutically relevant molecules. Experimental and density functional theory calculation studies provide detailed insights into the mechanism and the origin of enantioselectivity for the asymmetric $C(sp^3)$ -H functionalization.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.2c12481.

Experimental procedures and spectral data for all new products (PDF)

Accession Codes

CCDC 2192201 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

AUTHOR INFORMATION

Corresponding Authors

Minyan Wang – State Key Laboratory of Coordination Chemistry, School of Chemistry and Chemical Engineering, Nanjing University, Nanjing 210023, China; Email: wangmy@nju.edu.cn

Wangqing Kong – The Institute for Advanced Studies and Hongyi Honor College, Wuhan University, Wuhan 430072, China; orcid.org/0000-0003-3260-0097; Email: wqkong@whu.edu.cn

Authors

- Sheng Xu The Institute for Advanced Studies and Hongyi Honor College, Wuhan University, Wuhan 430072, China
- Yuanyuan Ping The Institute for Advanced Studies and Hongyi Honor College, Wuhan University, Wuhan 430072, China
- Wei Li The Institute for Advanced Studies and Hongyi Honor College, Wuhan University, Wuhan 430072, China
- Haoyun Guo The Institute for Advanced Studies and Hongyi Honor College, Wuhan University, Wuhan 430072, China
- Yinyan Su The Institute for Advanced Studies and Hongyi Honor College, Wuhan University, Wuhan 430072, China
- Ziyang Li The Institute for Advanced Studies and Hongyi Honor College, Wuhan University, Wuhan 430072, China

Complete contact information is available at: https://pubs.acs.org/10.1021/jacs.2c12481

Notes

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